APPLICATION FOR EXECUTRY BOND



**APPLICATION FORM**

All Bonds will be issued by Royal & Sun Alliance Insurance plc

Sheriff Court of

**1. Executor**

Full Name Address

Occupation or Profession

Relationship to deceased Age

State what you know of the character and financial

circumstances of the proposed Executor and how

long you have known him or her

**2. Deceased**

Full Name Address

Occupation or profession

Date & place of death

Did the deceased die testate Yes No

# If Yes, a copy of the Will must be provided. Please explain in Section A, overleaf why a bond is required when a Will exists

**N.B. Complete Sections 6a & 6b, overleaf in all cases**

**3. Distribution**

**Please note that if you answer No to any of these questions, it is unlikely that we will be able to provide a Bond. If you have to answer No then please provide a full explanation.**

Willyour firm be handling the entire administration and distribution of the Estate? Yes No

Does the proposed scheme of distribution shown in Section 6a follow the scheme of Yes No

distribution laid down in law?

Will the portion of the Estate due to any untraced beneficiaries or any beneficiaries who are N/A Yes No not sui juris be retained by you in the name of your firm or in the joint name of your firm and

the Executor, until the beneficiaries are traced or until the Executor can and will receive a valid

receipt and discharge from these beneficiaries or their representatives? **Please provide details of arrangements in Section A, overleaf**

**NB: A full copy of the Inventory from the C1 Form must be sent with this application**

**4. Estate**

Gross Value of whole Estate Amount & nature of debts

Over what period is it expected that the realisation & distribution will extend?

If distribution is to be carried out within 6 months of the death of the deceased,

please provide an explanation for this course of action in Section A, overleaf

Has the deceased been in receipt of any state benefit? Yes No

Is any business to be carried on? Yes No

If Yes, please provide details in Section A, overleaf

Is there any litigation proceeding or pending in connection with this Executry? Yes No

If Yes, please provide details in Section A, overleaf

Is it proposed to advertise for claims against the Estate? Yes No

If Yes, please provide details in Section A, overleaf

Will there be any Inheritance tax due from this Estate Yes No

If Yes, please provide details in Section A, overleaf

If there is Inheritance tax due from this estate will it be paid by the N/A Yes No

instalments method? If Yes, please provide details in Section A, overleaf

5. General

Has this risk been offered elsewhere? Yes No

If Yes, please provide details in Section A, overleaf

PLEASE ENSURE THAT YOU SIGN AND DATE THE APPLICATION BOTH FOR YOUR FIRM AND FOR THE EXECUTOR(S) AT THE END OF THIS FORM

**6a. Beneficiaries – not all addresses and ages need to be supplied when applying, but any living in deceased’s property MUST be shown when applying**

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| **Name** | **Address** | **Age** | **Relationship to the deceased** | **Share** |
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**6b. Relatives of the deceased**

Which of the following relatives survived the deceased?

Please tick: Husband Wife

Please give number of : Children under 18 Children over 18 Issue of pre-deceasing children

If the deceased had no surviving issue, however remote, which of these relatives survived the deceased?

Please give number of: Parents Siblings Issue of pre-deceasing siblings

Was the deceased a widow(er)? Yes No

If Yes, then please give name and date of death of spouse

Was the deceased a divorcee? Yes No

If Yes, then please give date of decree

# SECTION A - Supplementary Information

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SEE SECTION B - IMPORTANT INFORMATION, ON NEXT PAGE

SECTION B – Important Information

An executry bond of caution does **not** provide any insurance or indemnity or protection for your client(s) the executor(s). It provides a guarantee that they will pay the creditors and beneficiaries of the estate. If they do not pay then we have to pay on their behalf and then we recover from them what we have paid and for our cost and expenses in relation to that.

**Statement of Demands and Needs**

A bond of caution provided by Royal & Sun Alliance Insurance plc (RSA) meets the demands and needs of those persons wishing to obtain Confirmation in respect of an intestate estate or, in some cases, a testate estate in Scotland. Bonds of caution of this nature are a compulsory requirement of Scots Law in certain circumstances and not a matter of choice upon which we can make, or do make, any recommendations.

**Who can apply to us for this bond?**

The application can only be made to us by a firm of solicitors who are appearing under the Financial Conduct Authority (FCA) register either as an Exempt Professional Firm authorised by the Law Society of Scotland or authorised directly by the FCA. The FCA register is <https://register.fca.org.uk/>. If you do not appear on the register as described, we **cannot** provide this bond. In order to feature on the register as an Exempt Professional Firm, please contact your Law Society about authorising your firm to administer **Incidental Insurance Distribution Business.** The FCA consider bonds of caution as being an **insurance product** under their authority.

Signature of Solicitor       Date       Address

Both on own behalf as a solicitor and on behalf of the executor or executors

Print Name of Solicitor

Royal & Sun Alliance Insurance plc No. 93792

Registered in England and Wales at St Mark’s Court, Chart Way, Horsham, West Sussex RH12 1XL

Royal & Sun Alliance Insurance plc, is authorised by the Prudential Regulation Authority as an insurance company and is regulated by the Financial Conduct Authority and the Prudential Regulation Authority. It is authorised to sell and administer insurance contracts under Registration No. 202323. You can check this on the Financial Services Register by visiting the FCA’s website www.fca.org.uk/register or by contacting them on 0800 111 6768 (free from landlines) or 0300 500 8082 (mobiles)

For your protection, telephone calls may be recorded and monitored